

Docket No.: 96790P355

IFW 2134

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

SATOSHI SHIGEMATSU, ET AL.

Application No.: 09/853,770

Filed: May 11, 2001

For: **AUTHENTICATION TOKEN AND
AUTHENTICATION SYSTEM**

Art Group: 2134

Examiner: Ellen C. Tran

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted after mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the

11/15/2006 MGBREM1 00000005 09853770 Information Disclosure Statement.

01 FC:1806

180.00 OP

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180.00 OP

The references were cited in a Search Report dated October 31, 2006 (copy enclosed herewith) which was forwarded to Applicant's Representative in a communication dated October 31, 2006.

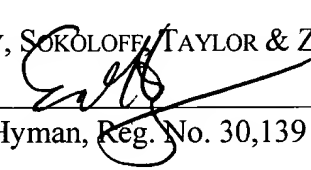
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith.

Date: 11/9/06

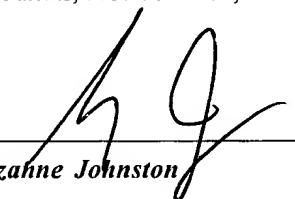
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


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Suzanne Johnston

11/9/06
Date



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/853,770
				Filing Date	May 11, 2001
				First Named Inventor	Satoshi Shigematsu
				Art Unit	2134
				Examiner Name	Ellen C. Tran
Sheet	1	of	2	Attorney Docket Number	96790P355

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 99/50794	10-07-1999	Enix Corporation		Abstract
		JP 56-11567	02-04-1981	Omron Tateisi Electronics Co.		Abstract
		JP 4-287191	10-12-1992	NEC ENG LTD		Abstract
		JP 63-156282	06-29-1998	NEC ENG LTD		Abstract
		JP 2-5195	01-10-1990	Omron Tateisi Electronics Co.		Abstract

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known

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Art Unit	2134
Examiner Name	Ellen C. Tran
Attorney Docket Number	96790P355

Sheet	2	of	2
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[illegible]Examiner
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/853,770
Filing Date	May 11, 2001
First Named Inventor	Satoshi Shigematsu
Art Unit	2134
Examiner Name	Ellen C. Tran
Total Number of Pages in This Submission	14
Attorney Docket Number	96790P355

ENCLOSURES (check all that apply)

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<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	11/9/06

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